



# ***Non linear behavior of electrostatically actuated micro-structures***

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# Outline

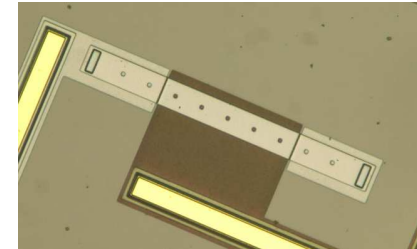


- Introduction
- Basic principles
- Finite element formulation
- Nonlinear algorithms
- Validation & examples
- Oofelie::MEMS, driven by SAMCEF Field
- Perspectives

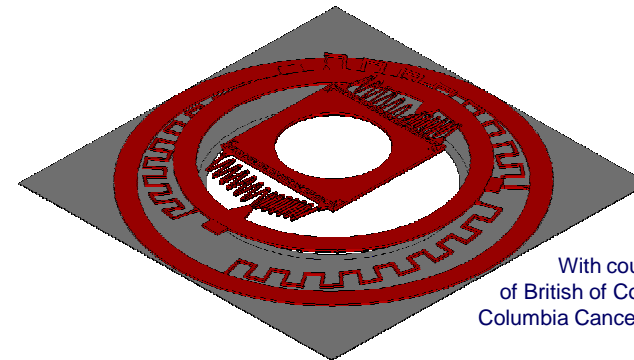
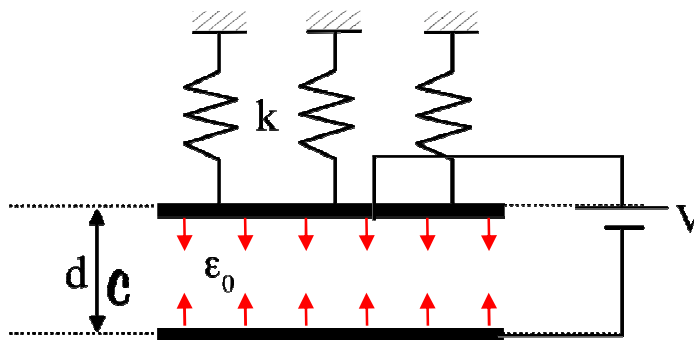
# Introduction

## □ Electrostatics is often used in micro-systems

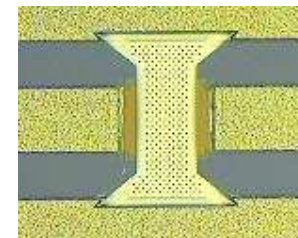
- RF Switches
- Micro-resonators (gyrometers,...)
- Micro-lens for biomedical application
- Adaptative optics
- ...



## □ 1D Reference problem



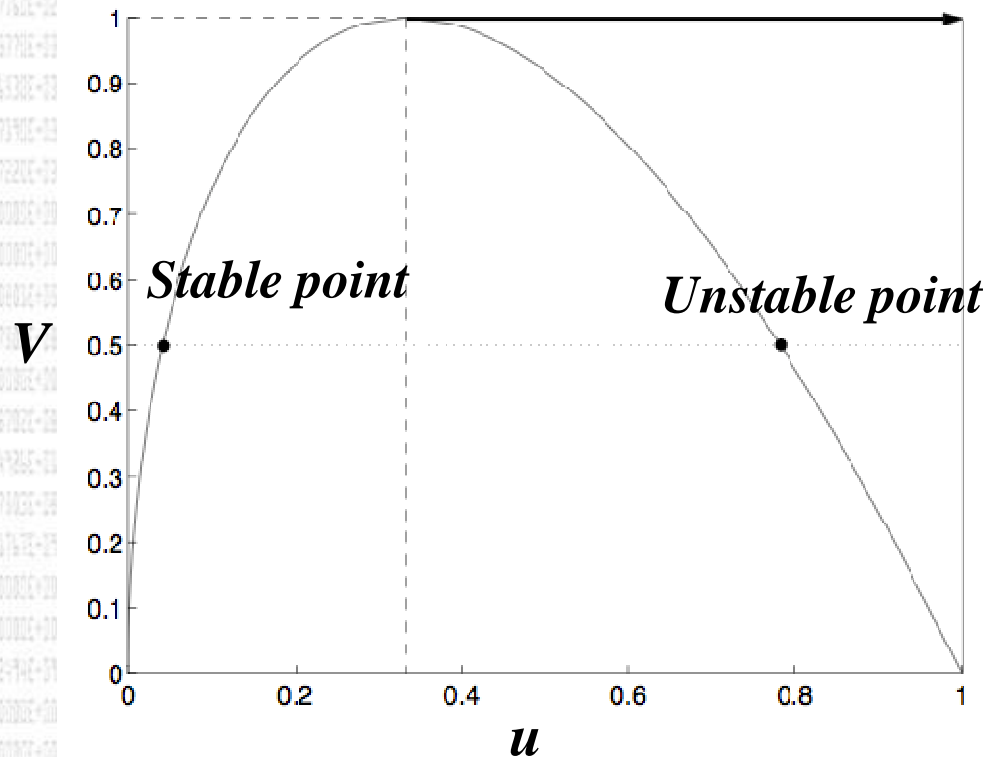
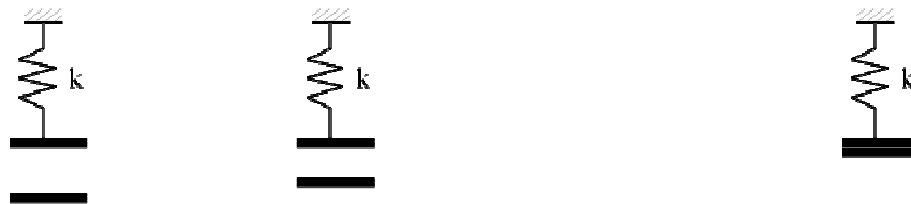
With courtesy of University of British Columbia and British Columbia Cancer Research Centre



# Basic Principles: Static analysis



□ Static equilibrium equation:  $k(d - d_0) = -\frac{1}{2}\epsilon_0 \frac{V^2}{d^2}$



**Static Pull-in Voltage:**

*The voltage amplitude for which only one equilibrium position exists*

$$V_{spi} = \sqrt{\frac{8}{27} \frac{k d_0^3}{\epsilon_0}}$$

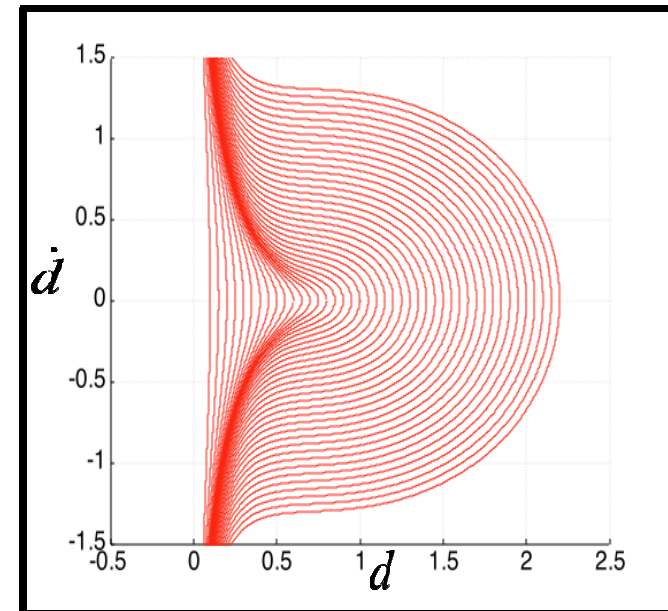
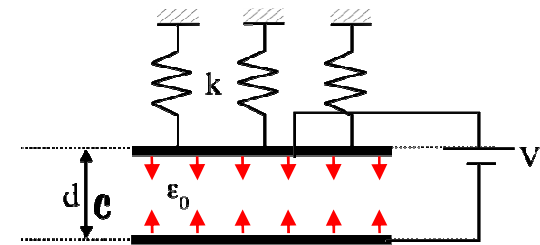
# Electromechanical Problem: Dynamic Analysis

## □ Dynamic Equation:

$$m\ddot{d} = -k(d - d_0) - \frac{1}{2}\epsilon_0 \frac{V^2}{d^2}$$

- $V = 0$ , the phase diagram is an ellipsoid
- $V = V^*$ , an unstable zone appears
- $V \uparrow$ , the stability zone is reduced and disappears when the static pull-in voltage is reached

➔ 2 new parameters



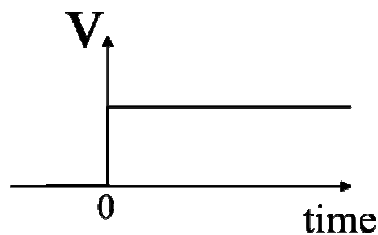
# Basic principles: Dynamic Pull-In Voltage



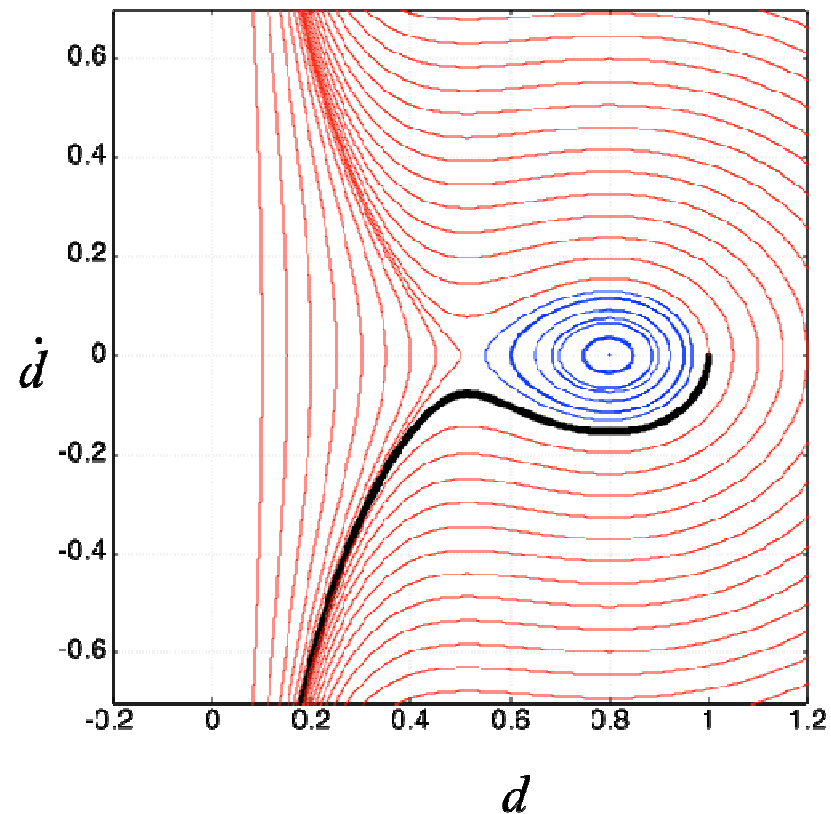
## Dynamic Pull-in Voltage:

*When the voltage is applied suddenly, the system becomes unstable*

### Step of voltage



$$V_{dpi} = 0.5 \sqrt{\frac{k d_0^3}{\epsilon_0}}$$



# Basic principles: Dynamic Pull-in time

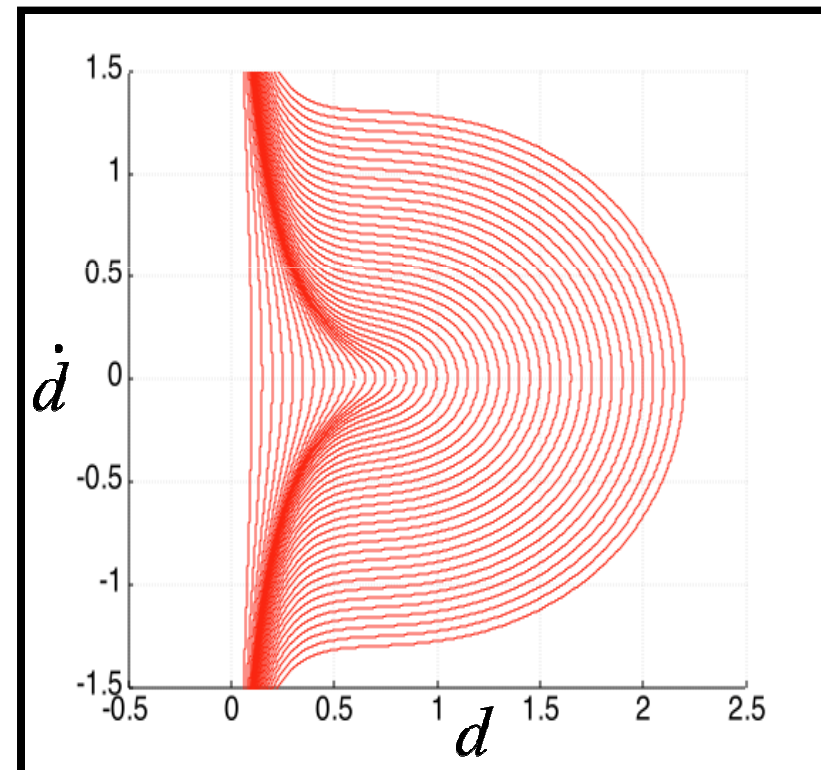


## Dynamic Pull-in Time:

*The time needed for the plates to stick together when the static pull-in voltage is applied*

$$t_{dpi} = 4.35 \sqrt{\frac{m}{k}}$$

*Independent of the gap and the permittivity*



# Finite Element: Strongly Coupled Formulation



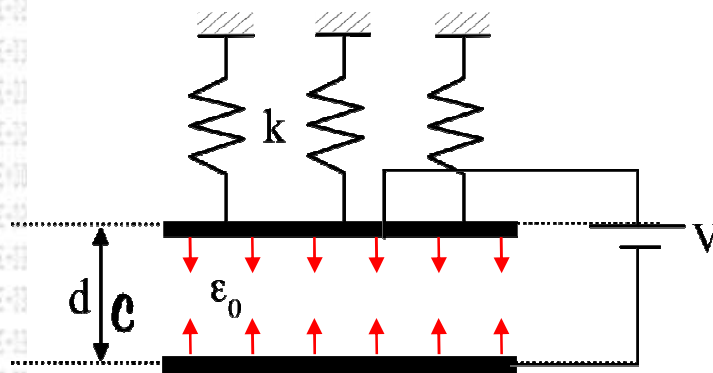
- Analytical expression of the tangent stiffness matrix
- Advantages
  - Faster convergence to the non-linear solutions
  - Accurate evaluation of the pull-in voltage
  - Modal analysis
  - Time integration
- Full explanation for 1D formulation
- 2D and 3D extension is "obvious" using "Virtual Work" principle



# 1D Formulation

**Gibb's energy density:**

$$G = \underbrace{\frac{1}{2} \mathbf{S}^T \mathbf{T}}_{W_m} - \underbrace{\frac{1}{2} \mathbf{D}^T \mathbf{E}}_{W_e}$$



**with**

$$W_m = \frac{1}{2} k (d - d_0)^2 = \frac{1}{2} k u^2$$

$$W_e = \frac{1}{2} \int_{C(u)} \frac{V}{d} \epsilon_0 \frac{V}{d} dx$$

**Mechanical force:**

$$f_m = \frac{\partial W_m}{\partial u} = k u$$

**Electrical charge:**

$$q_e = \frac{\partial W_e}{\partial V} = \epsilon_0 \frac{V}{d}$$

# 1D Formulation



□ **Electrostatic force:**  $f_e = -\frac{\partial W_e}{\partial u} = -\lim_{\delta \rightarrow 0} \frac{W_e^* - W_e}{\delta}$

with  $W_e = \frac{1}{2} \int_0^d \epsilon_0 \frac{\partial V}{\partial x} \frac{\partial V}{\partial x} dx = \frac{1}{2} \epsilon_0 \frac{V^2}{d}$

$$W_e^* = \frac{1}{2} \int_0^{d+\delta} \epsilon_0 \frac{\partial V}{\partial x} \frac{\partial V}{\partial x} dx$$

$$= \frac{1}{2} \int_0^d \epsilon_0 \frac{d}{d+\delta} \frac{\partial V}{\partial \xi} \frac{d}{d+\delta} \frac{\partial V}{\partial \xi} \frac{d+\delta}{d} d\xi$$

$$= \frac{1}{2} \frac{d}{d+\delta} \int_0^d \epsilon_0 \frac{\partial V}{\partial \xi} \frac{\partial V}{\partial \xi} d\xi = \frac{1}{2} \epsilon_0 \frac{d}{d+\delta} \frac{V^2}{d}$$

$$\longrightarrow f_e = \frac{1}{2} \epsilon_0 \frac{V^2}{d^2}$$

# 1D Formulation



□ Equilibrium Equations 
$$\begin{cases} ku + f_e = f_{ext} \\ -q_e = -q_{ext} \end{cases} \quad f_e = \frac{\epsilon_0 V^2}{d^2}$$

□ Linearisation around a position  $(\tilde{u}, \tilde{V})$  
$$q_e = \frac{\epsilon_0 V}{d}$$

$$\begin{cases} k(\tilde{u} + du) + f_e(u, V) = f_{ext} + df_{ext} \\ -q_e(u, V) = -q_{ext} - dq_{ext} \end{cases}$$

– Electric force 
$$f_e(u, V) = f_e(\tilde{u}, \tilde{V}) - \frac{\epsilon_0 \tilde{V}^2}{\tilde{d}^3} du + \frac{\epsilon_0 \tilde{V}}{\tilde{d}^2} dV$$

– Electric charge 
$$q_e(u, V) = q_e(\tilde{u}, \tilde{V}) - \frac{\epsilon_0 \tilde{V}}{\tilde{d}^2} du + \frac{\epsilon_0}{\tilde{d}} dV$$

## □ Tangent stiffness Matrix

$$\begin{pmatrix} k - \frac{\epsilon_0 \tilde{V}^2}{\tilde{d}^3} & \frac{\epsilon_0 \tilde{V}}{\tilde{d}^2} \\ \frac{\epsilon_0 \tilde{V}}{\tilde{d}^2} & -\frac{\epsilon_0}{\tilde{d}} \end{pmatrix} \begin{pmatrix} du \\ dV \end{pmatrix} = \begin{pmatrix} df_{ext} \\ -dq_{ext} \end{pmatrix}$$

# General FE Formulation (2D and 3D)



□ *Gibbs energy density*

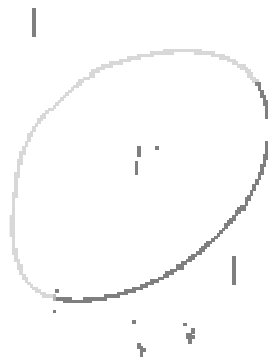
$$G = \frac{1}{2} \mathbf{S}^T \mathbf{T} - \frac{1}{2} \mathbf{D}^T \mathbf{E}$$

□ *On a volume*

$$W_{int} = \frac{1}{2} \int_V \mathbf{S}^T \mathbf{T} dV - \frac{1}{2} \int_{V(\mathbf{u})} \mathbf{D}^T \mathbf{E} dV = W_m + W_e$$

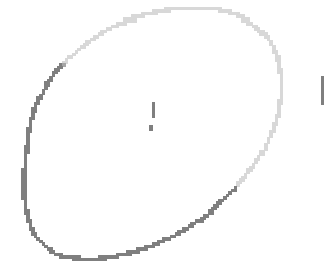
**Mechanical domain**

**Electric domain**



( $\mathbf{u}, \phi$ )

**Unknowns**



$$\begin{cases} S_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) & \text{in } V \\ \mathbf{u} = \bar{\mathbf{u}} & \text{on } \Gamma_u \end{cases}$$

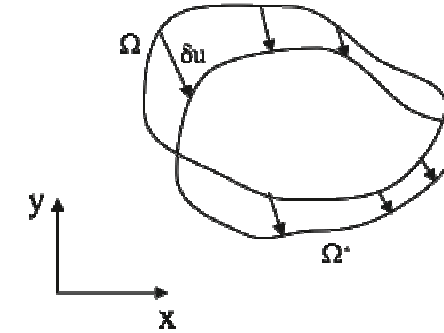
$$\begin{cases} \mathbf{E} = -\nabla \phi & \text{in } V \\ \phi = \bar{\phi} & \text{on } \Gamma_e \end{cases}$$

# General FE Formulation (2D & 3D)



Virtual work

$$\rightarrow \begin{cases} \mathbf{u}^* = \mathbf{u} + \delta \mathbf{u} \\ \phi^* = \phi + \delta \phi \end{cases}$$



Equilibrium equations

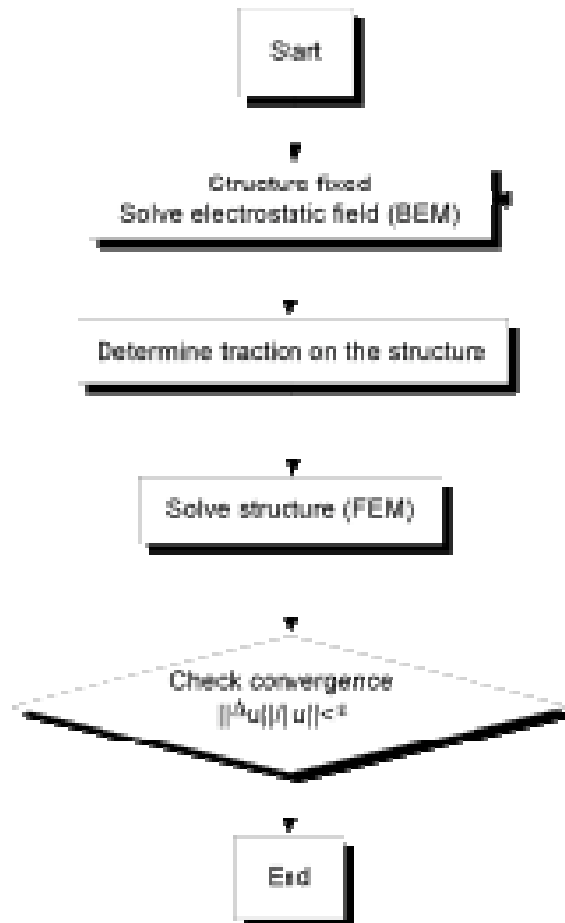
$$\begin{cases} \mathbf{f}_{int} \cdot \delta \mathbf{u} = \delta_u W_{int} = \delta_u W_m - \delta_u W_e \\ \mathbf{f}_q \delta \phi = \delta_o W_{int} = \delta_o W_m - \delta_o W_e \end{cases}$$

Pure Mech. (pointing to  $\delta_u W_m$ )  
Electrostatic force (pointing to  $\delta_u W_e$ )  
Pure Electric (pointing to  $\delta_o W_e$ )  
=0 (pointing to  $\delta_o W_m$ )

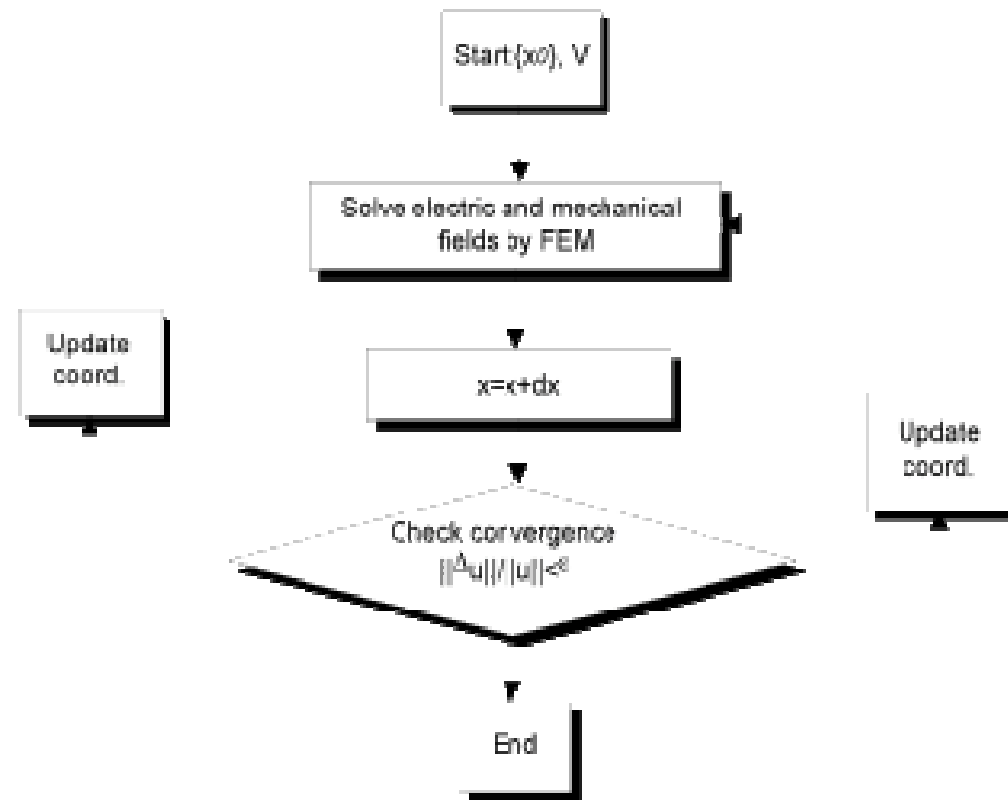
# Nonlinear solvers: Staggered vs Monolithic



## Staggered Method



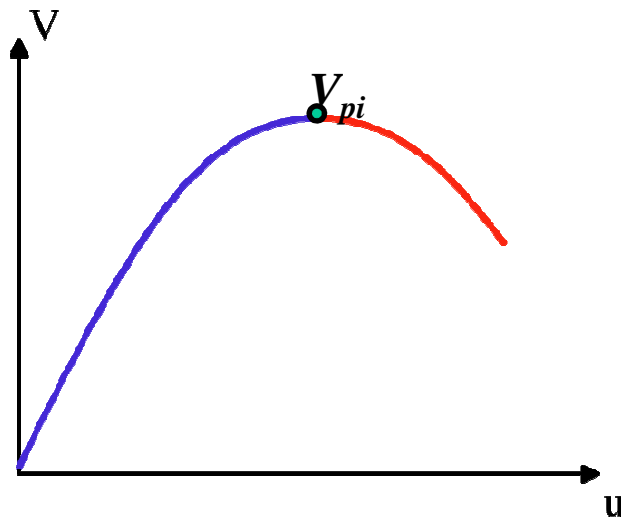
## Monolithic Method



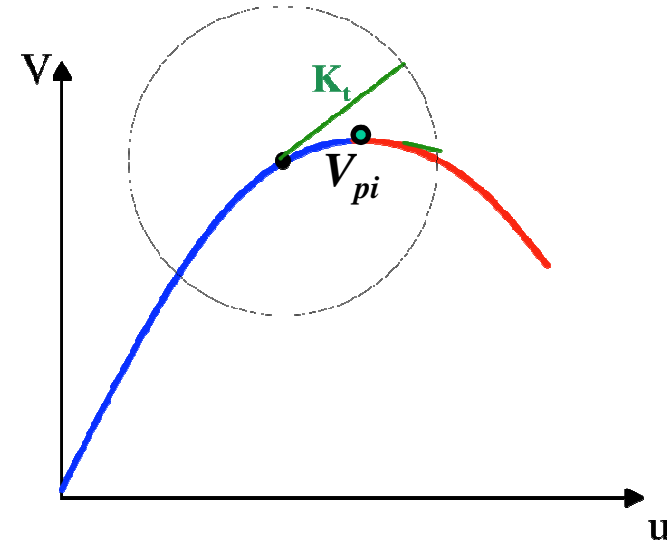
# Nonlinear solvers for Pull-In computation



## Newton Raphson



## Riks-Crisfield



# Nonlinear solver: Modal analysis



**In FEM formulation:**  $\mathbf{M}\ddot{\mathbf{u}} + \mathbf{K}\mathbf{u} = \mathbf{f}_e(\boldsymbol{\varphi}(\mathbf{u}))$

**1<sup>st</sup> method:** Projection on the first mech. eigenmode

Natural frequency  $\lambda \simeq \frac{k - \frac{\partial f_e}{\partial q}}{m}$

**2<sup>nd</sup> method:** Linearisation around an equilibrium position

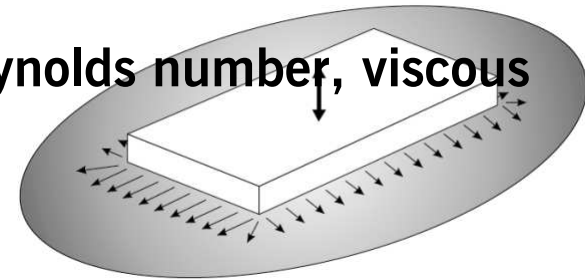
$$\hookrightarrow (\mathbf{K}_{\tan}(\mathbf{q}_0) - \omega^2 \mathbf{M}) \Delta \mathbf{q} = \mathbf{0}$$



# Integration of fluid damping (in film)

## □ Assumptions:

- laminar and fully developed flow (low Reynolds number, viscous dominated flow)
- pressure does not vary in z-direction
- the fluid does not slip at the walls
- very low Knudsen number (  $Kn = \lambda / h_0$ , where  $\lambda$  mean free path of molecules, inversely proportional to  $P$ ).



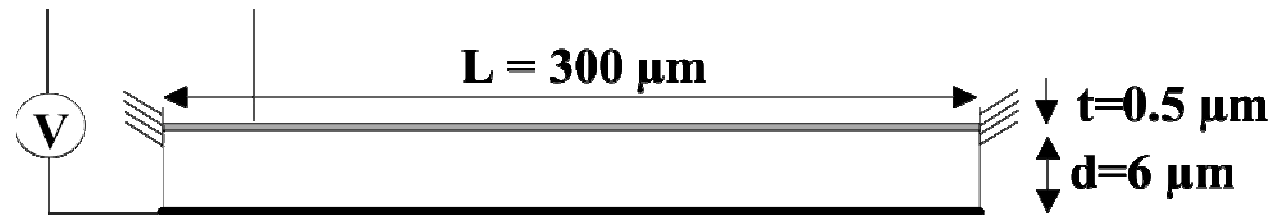
## □ Nonlinear Reynolds equation:

$$\frac{\partial}{\partial x} \left( \frac{Ph^3}{\mu} \frac{\partial P}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{Ph^3}{\mu} \frac{\partial P}{\partial y} \right) = 12 \frac{\partial(Ph)}{\partial t}$$

## □ Last assumption for linear damping:

- small amplitude motion in comparison with  $h_0$

# Application 1: Thin Beam, large gap

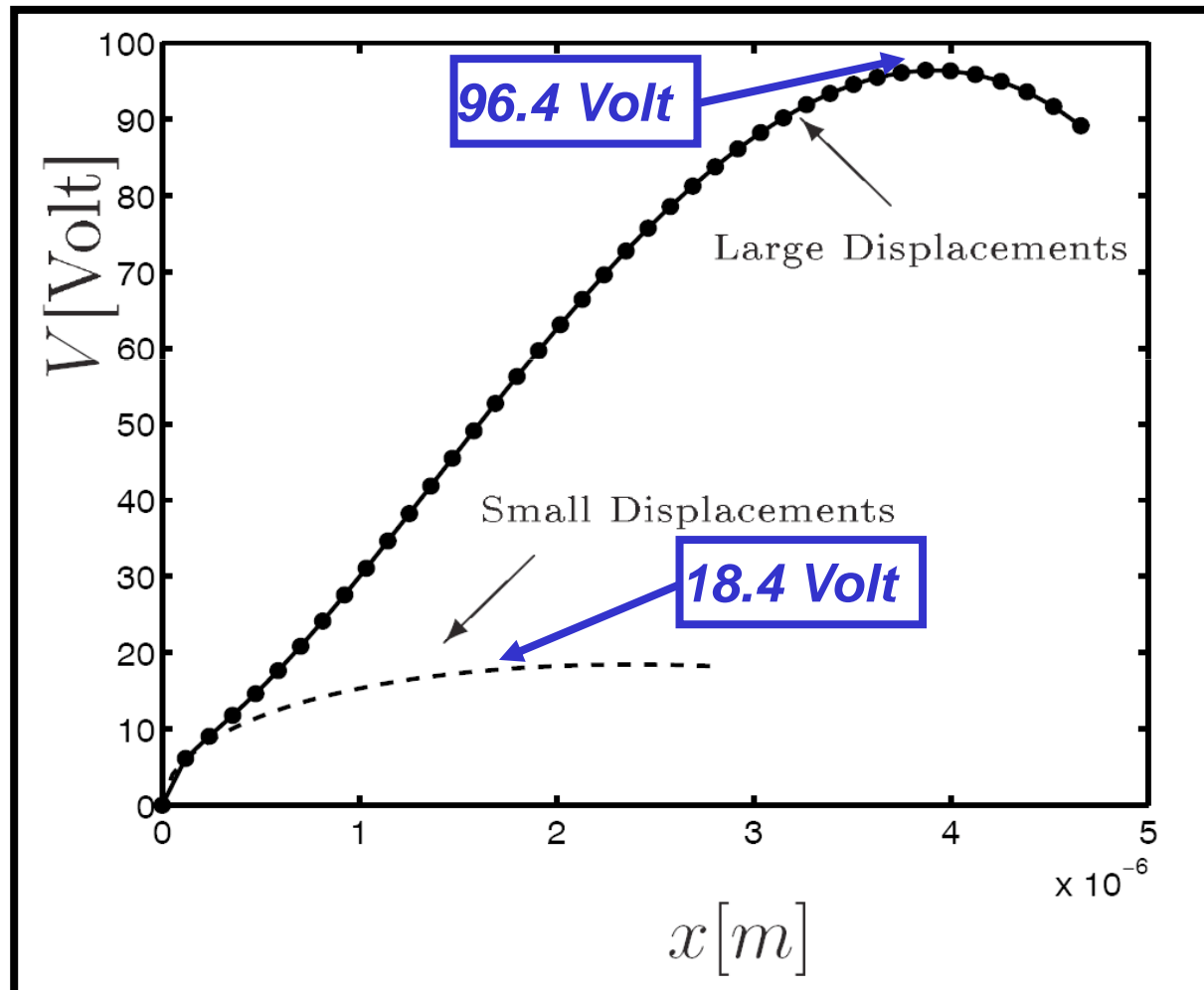


***The stiffness of the beam becomes non-linear with respect to displacement (cable effect)***

**↳ *Large displacements FE formulation taking into account geometric NL was used***

# Application 1: Thin Beam, large gap

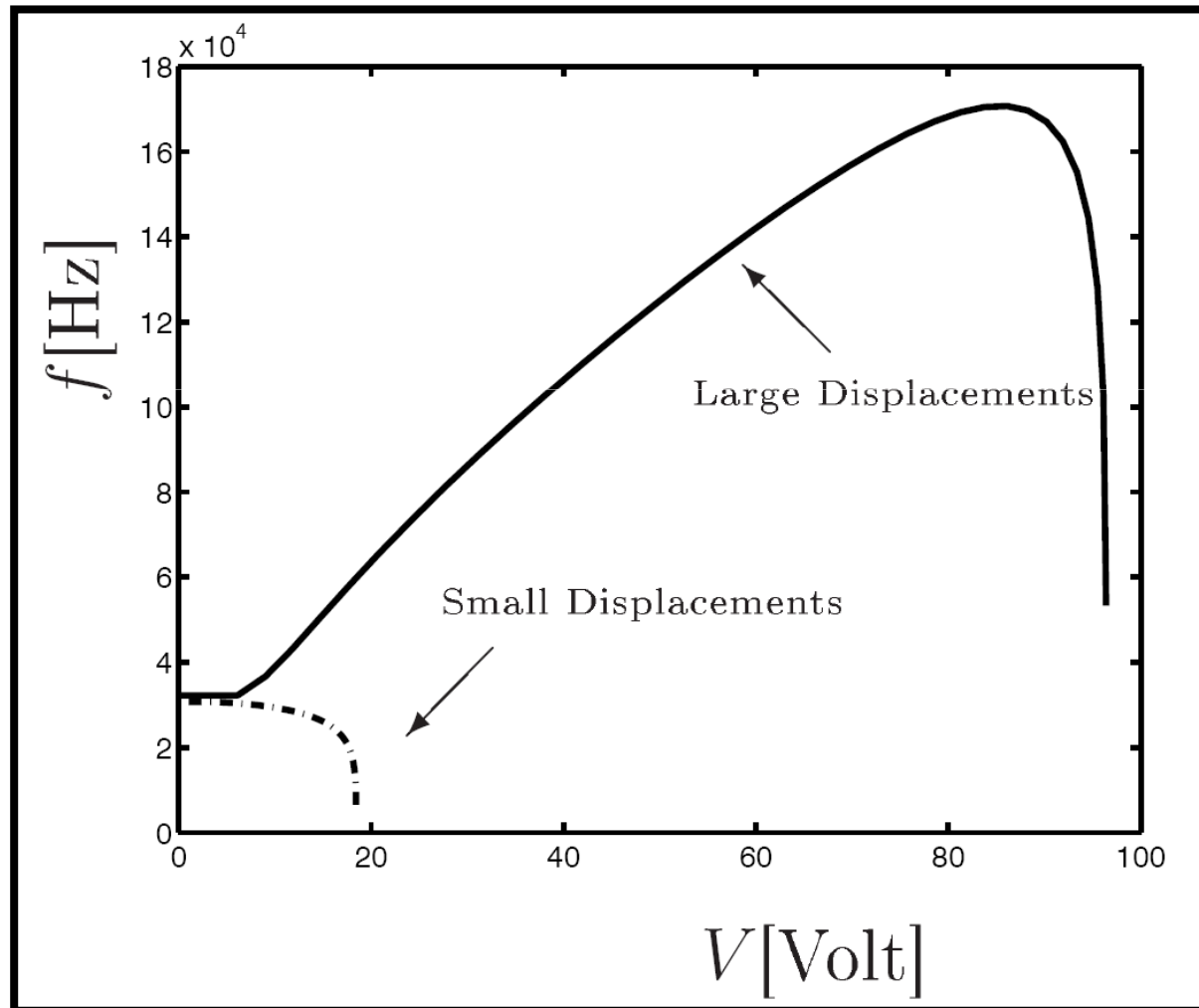
## Static Equilibrium position



# Application 1: Thin Beam, large gap

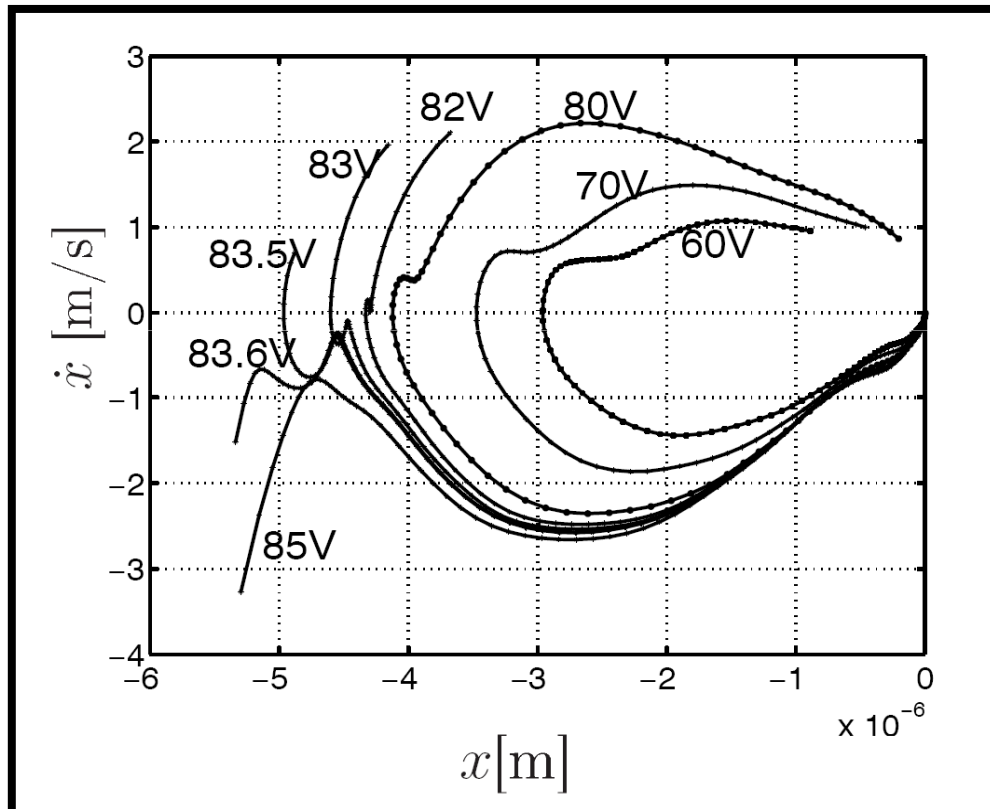


## Natural frequency



# Application 1: Thin beam, large gap

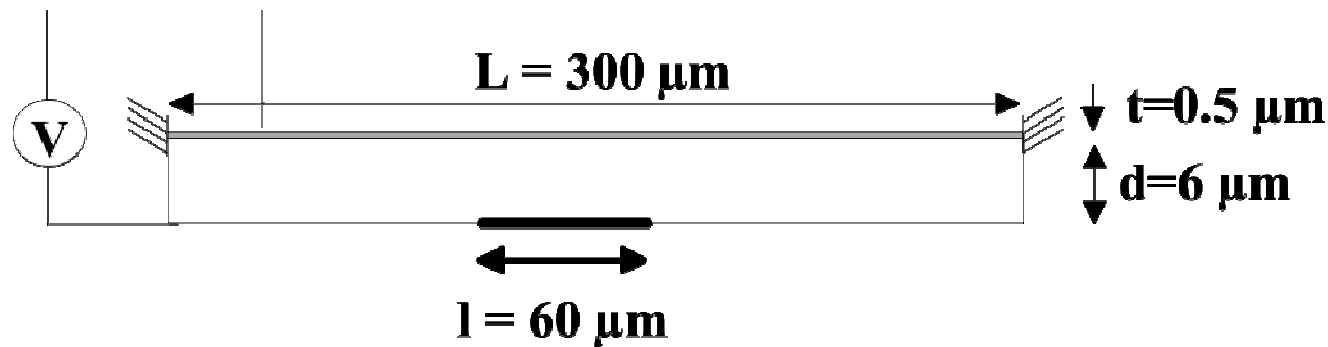
## Dynamic Behaviour



<b>Static Pull-in Voltage</b>	96.4 V
<b>Dynamic Pull-in Voltage</b>	83.6 V
<b>Difference</b>	13%

# Application 1: Smaller electrode

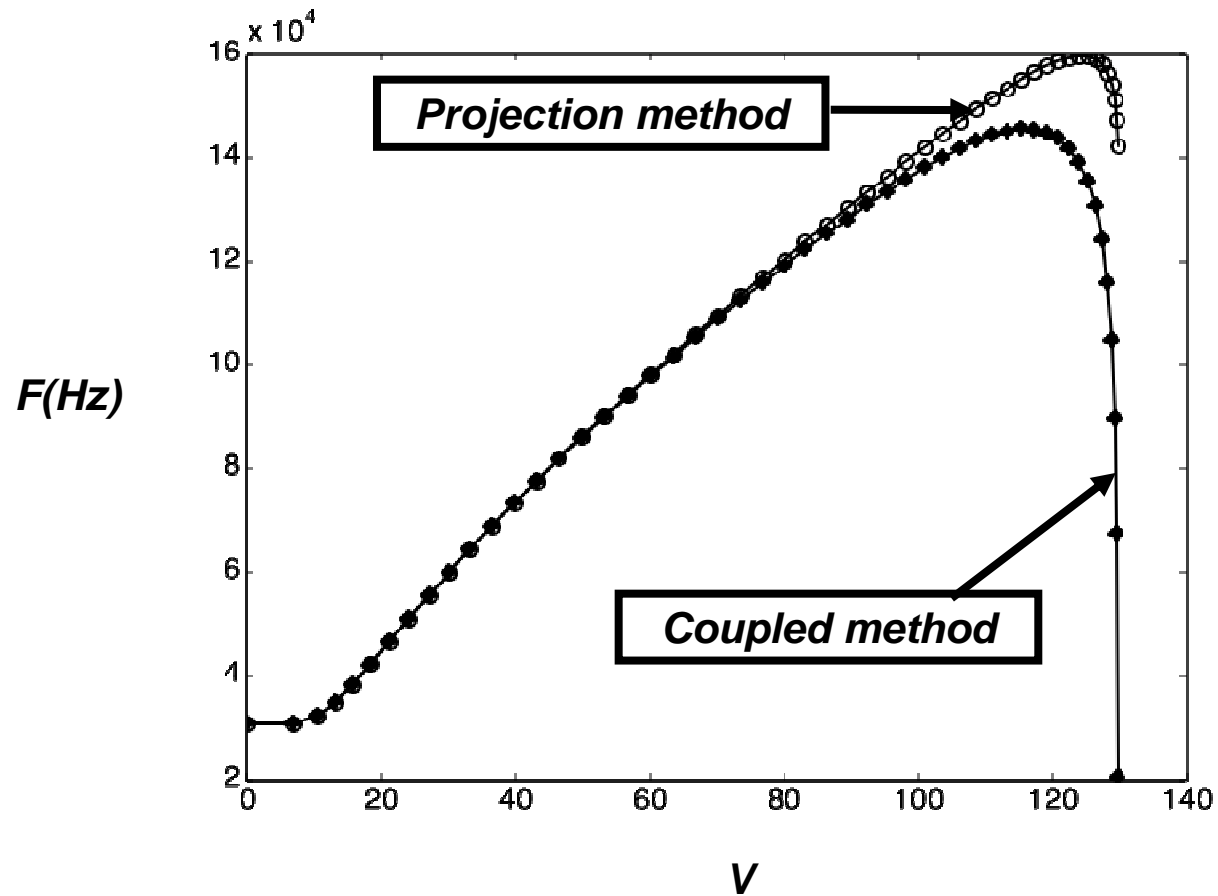
- ❑ Modal solution :
  - ❑ Projection vs. Monolithical Method
    - ❑ Nearly same results for uniform electrodes
    - ❑ Difference when the lower electrode is reduced



# Application 1: Smaller electrode



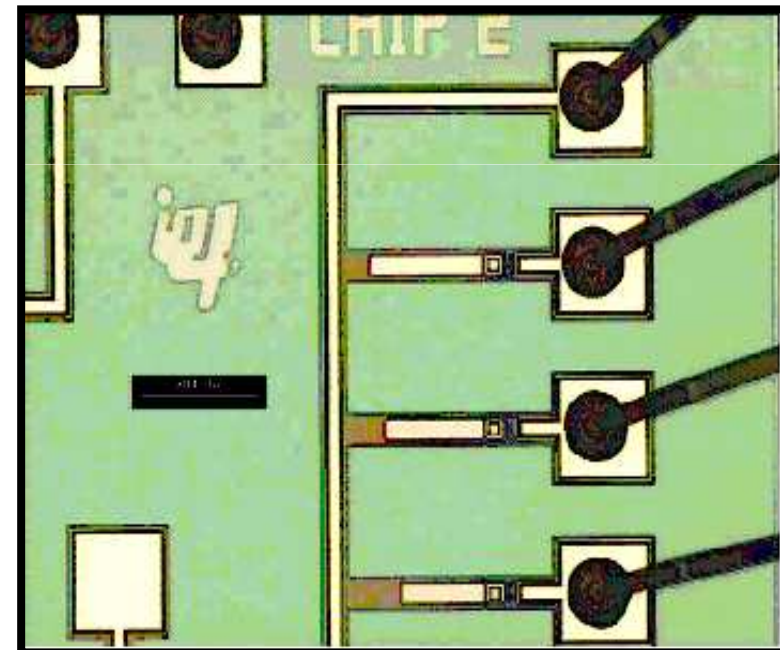
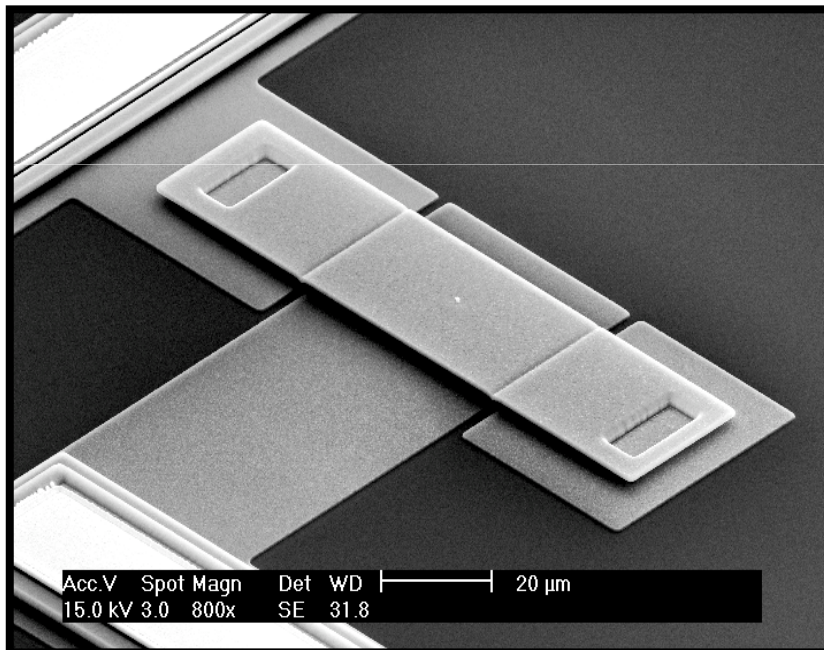
## First Natural Frequency



## Application 2: Micro-resonators

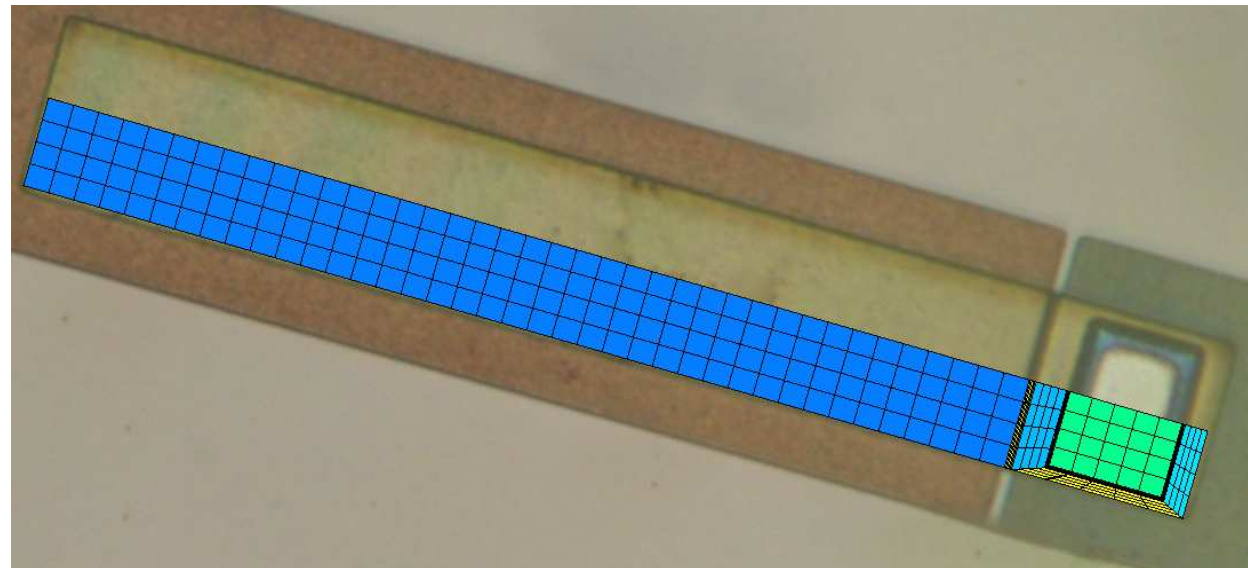
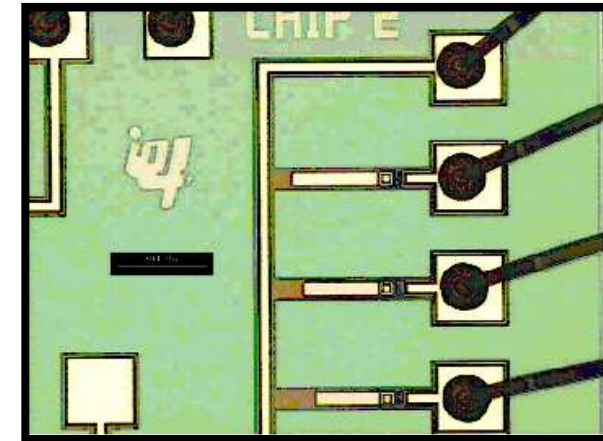
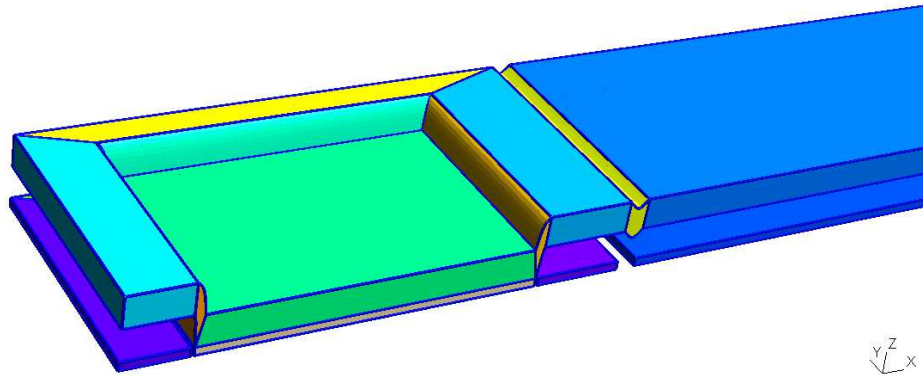
### ❑ Studied Micro-devices

- ❑ Electrostatically actuated micro-bridge (left)
- ❑ Electrostatically actuated cantilever micro-beam (right)





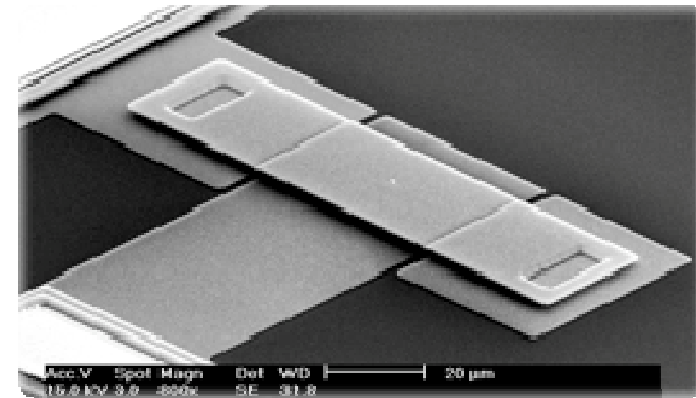
# Application 2: Micro-resonators



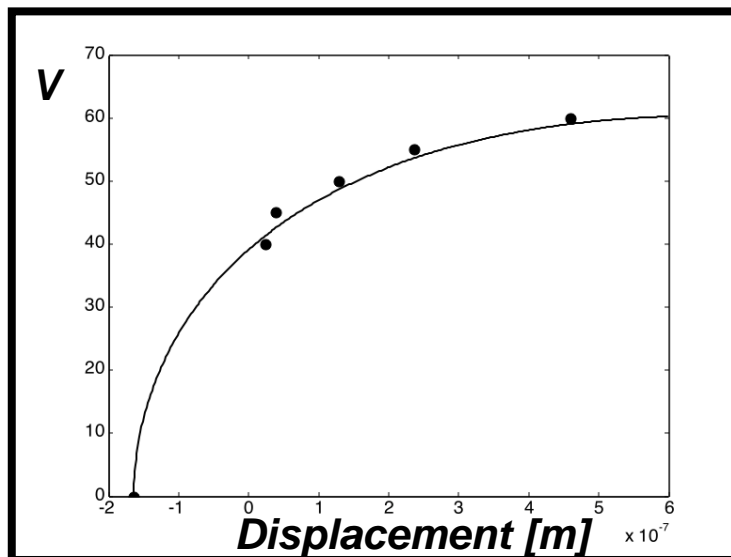
# Application 2: Micro-resonators



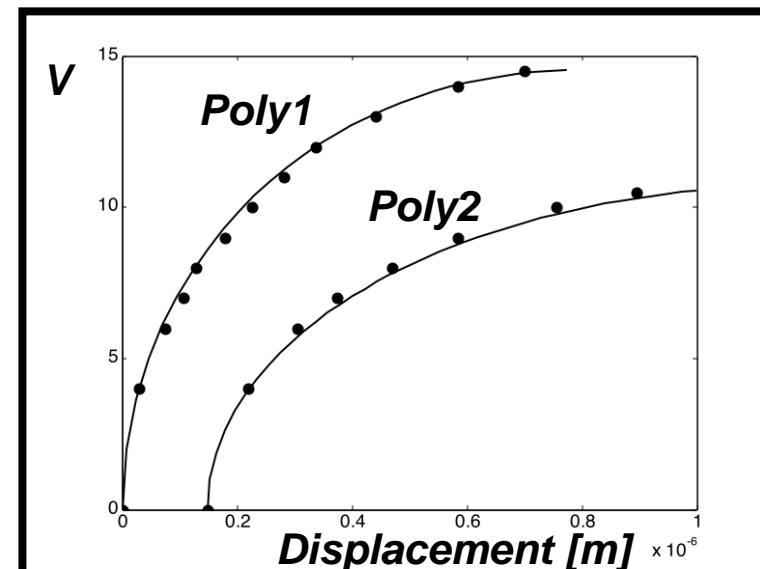
- Parameters to consider:
  - Pre-stress (due to manufacturing)
  - Shape of anchor
  - Model updating on E



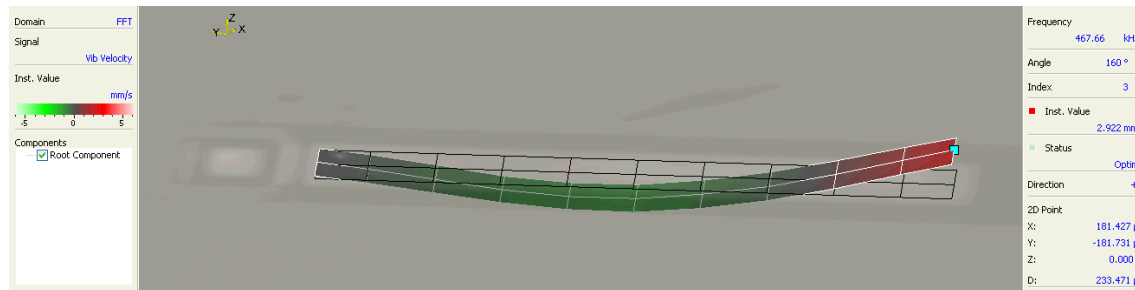
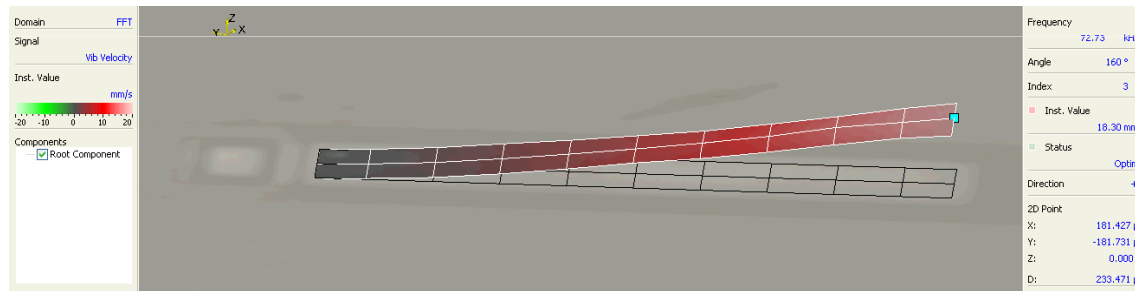
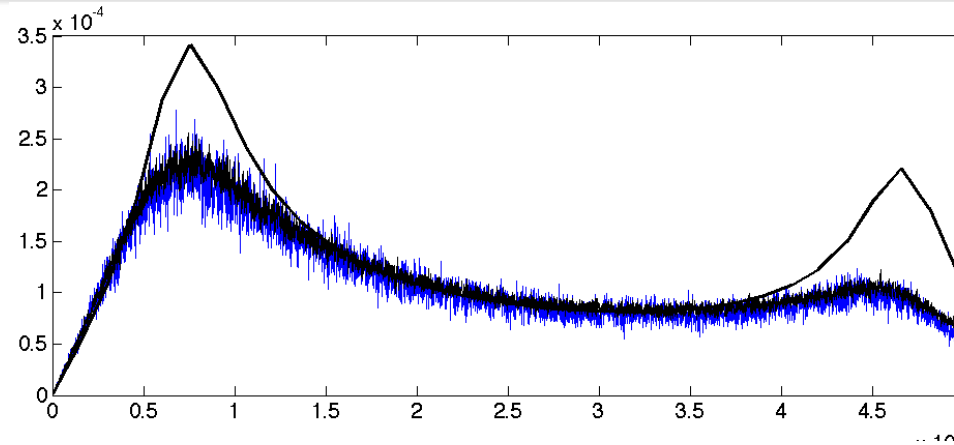
## Micro-Bridge



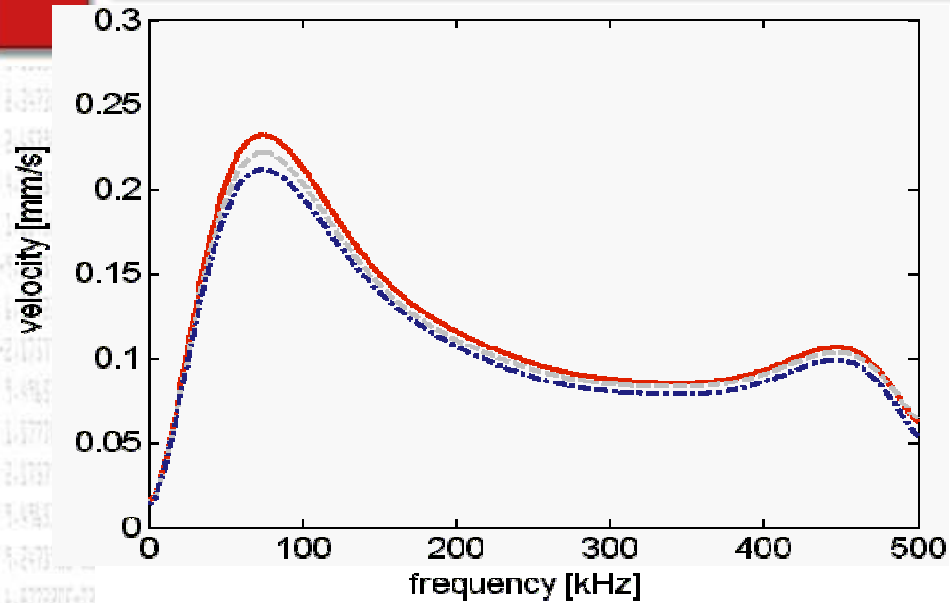
## Cantilever Micro-Beams



# Application 2: Micro-resonators

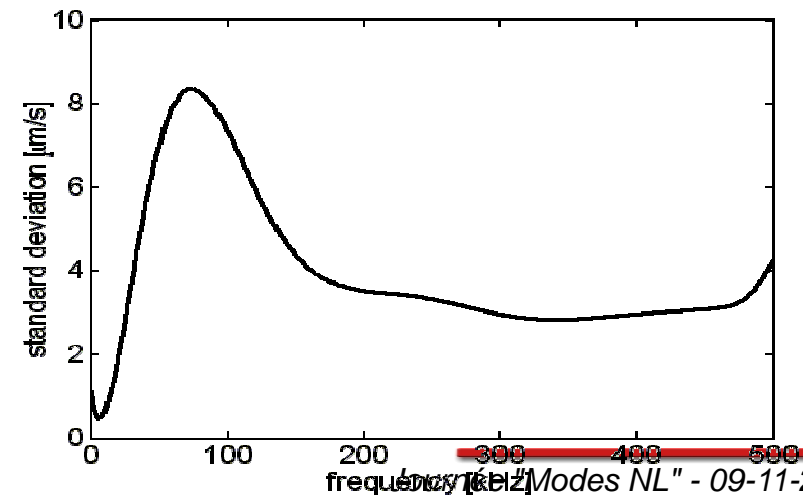
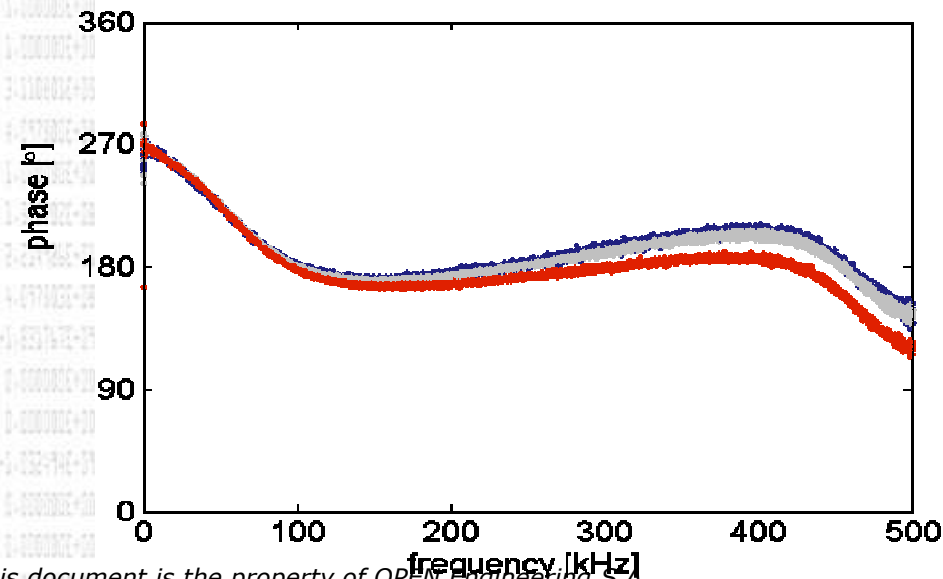
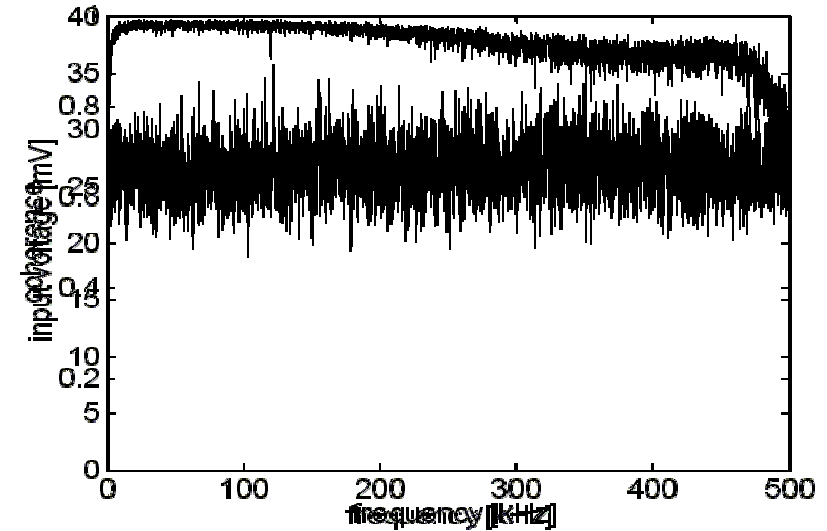


# Application 2: Dynamic measurements in air

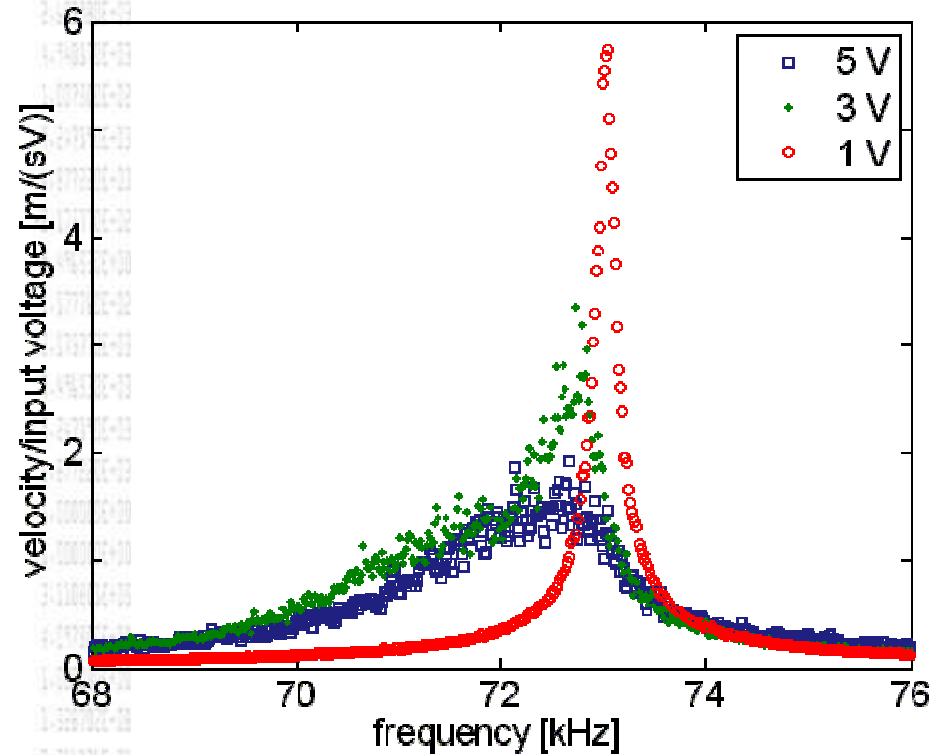


*cantilever:*

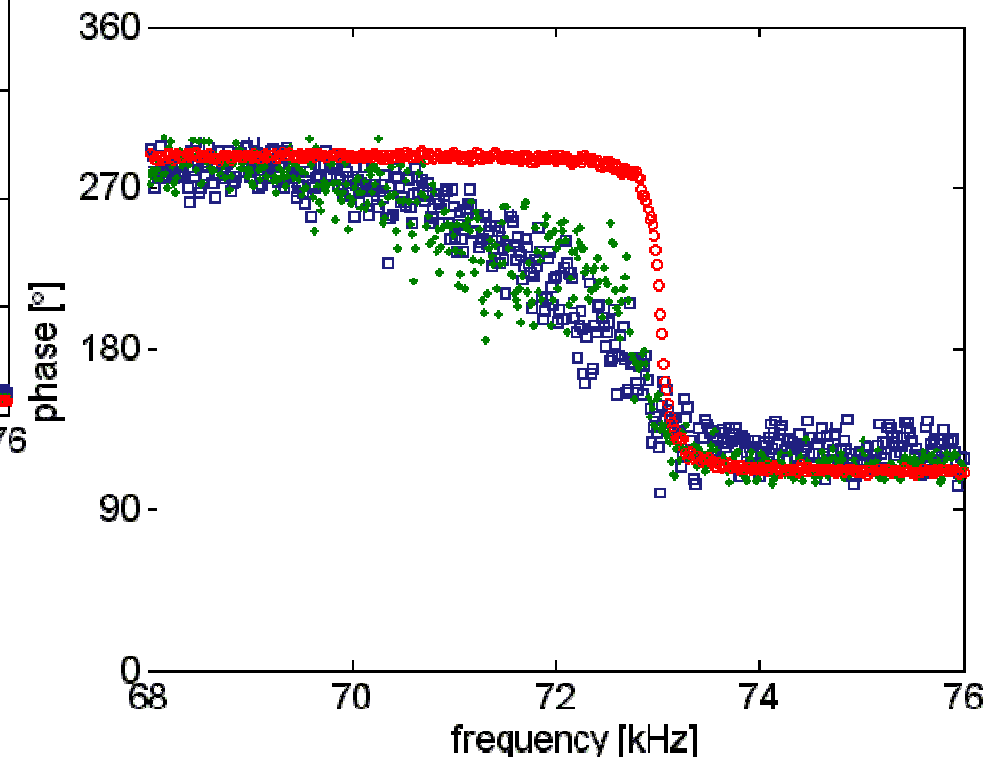
*$L=175 \mu\text{m}$ ,  $W=30 \mu\text{m}$ , poly 1*



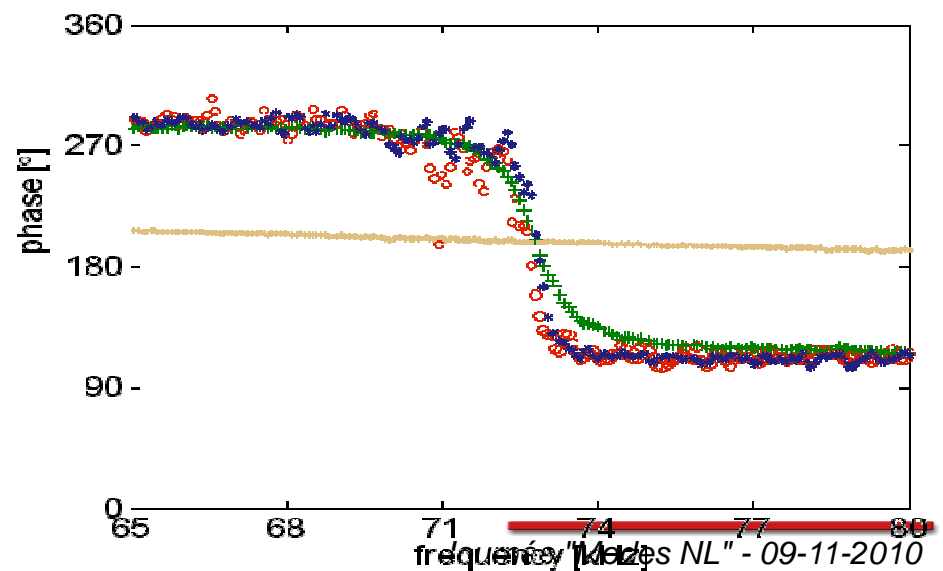
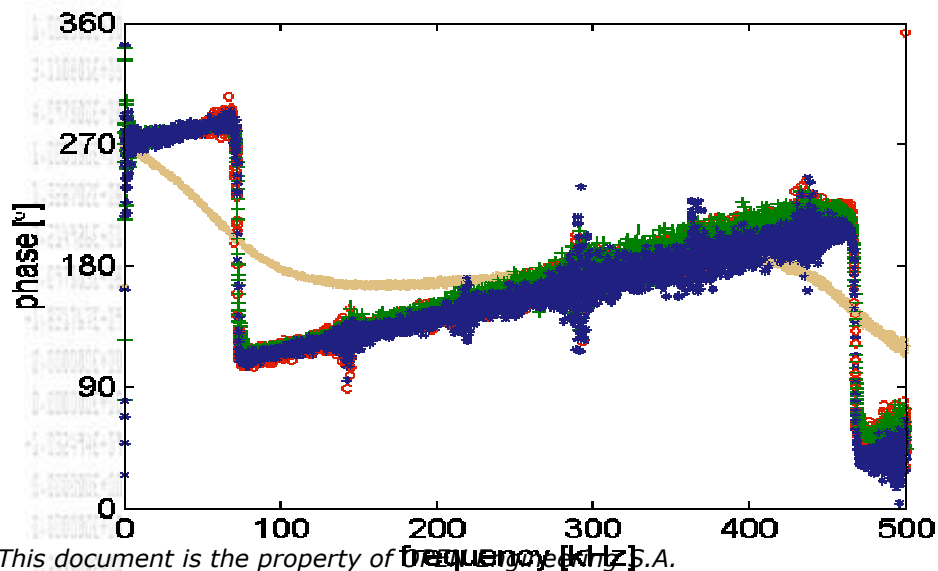
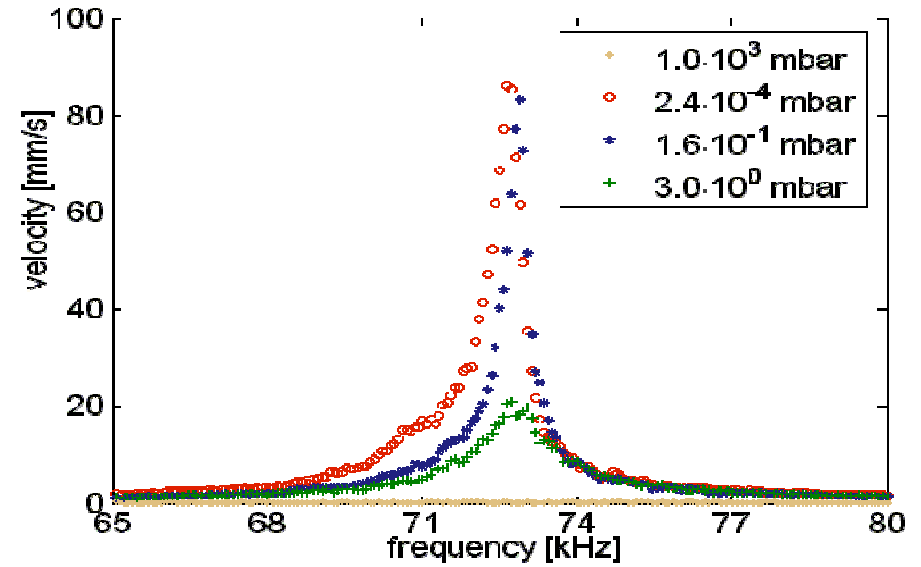
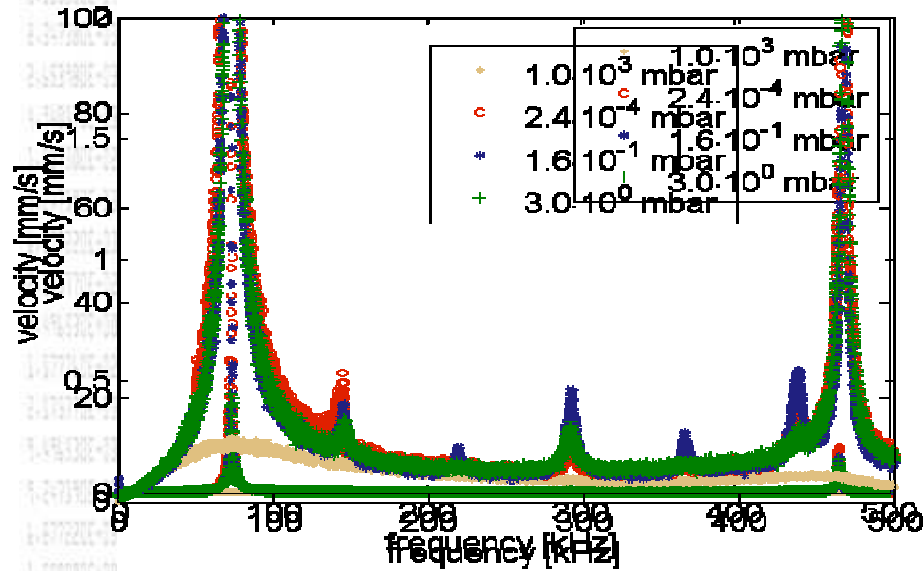
## Application 2: Dynamic measurements in vacuum



*cantilever:*  
 $L=175 \mu\text{m}$ ,  $W=30 \mu\text{m}$ , poly 1



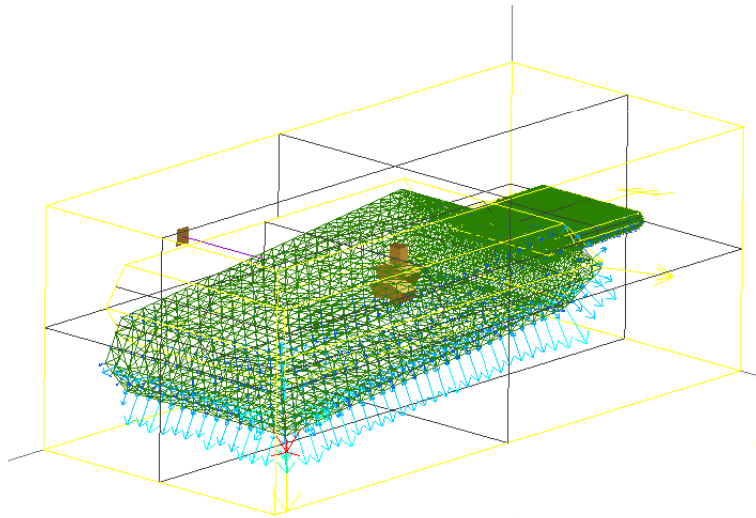
# Application 2: Dynamic measurements in vacuum



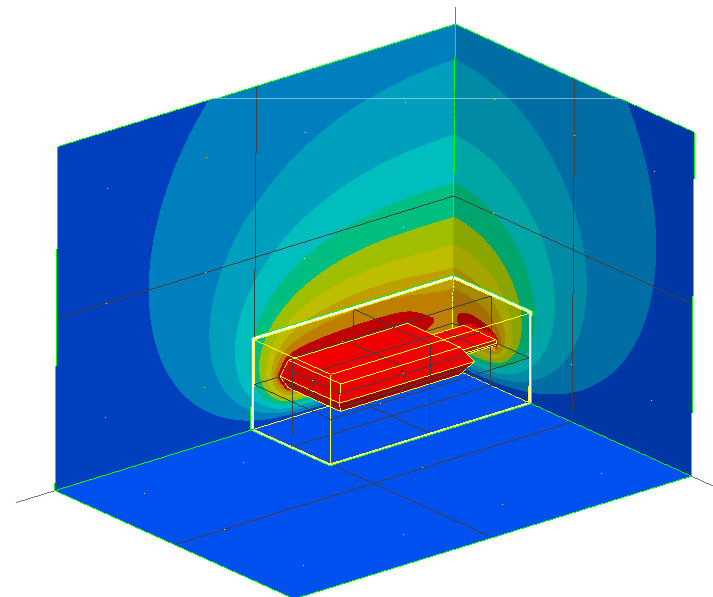
# Integration in Oofelie::MEMS, driven by SF



- Electrostatic effect added**
  - With BEM (no tangent stiffness)
  - With FEM (with tangent stiffness)
  - With FEM/BEM (with tangent stiffness)

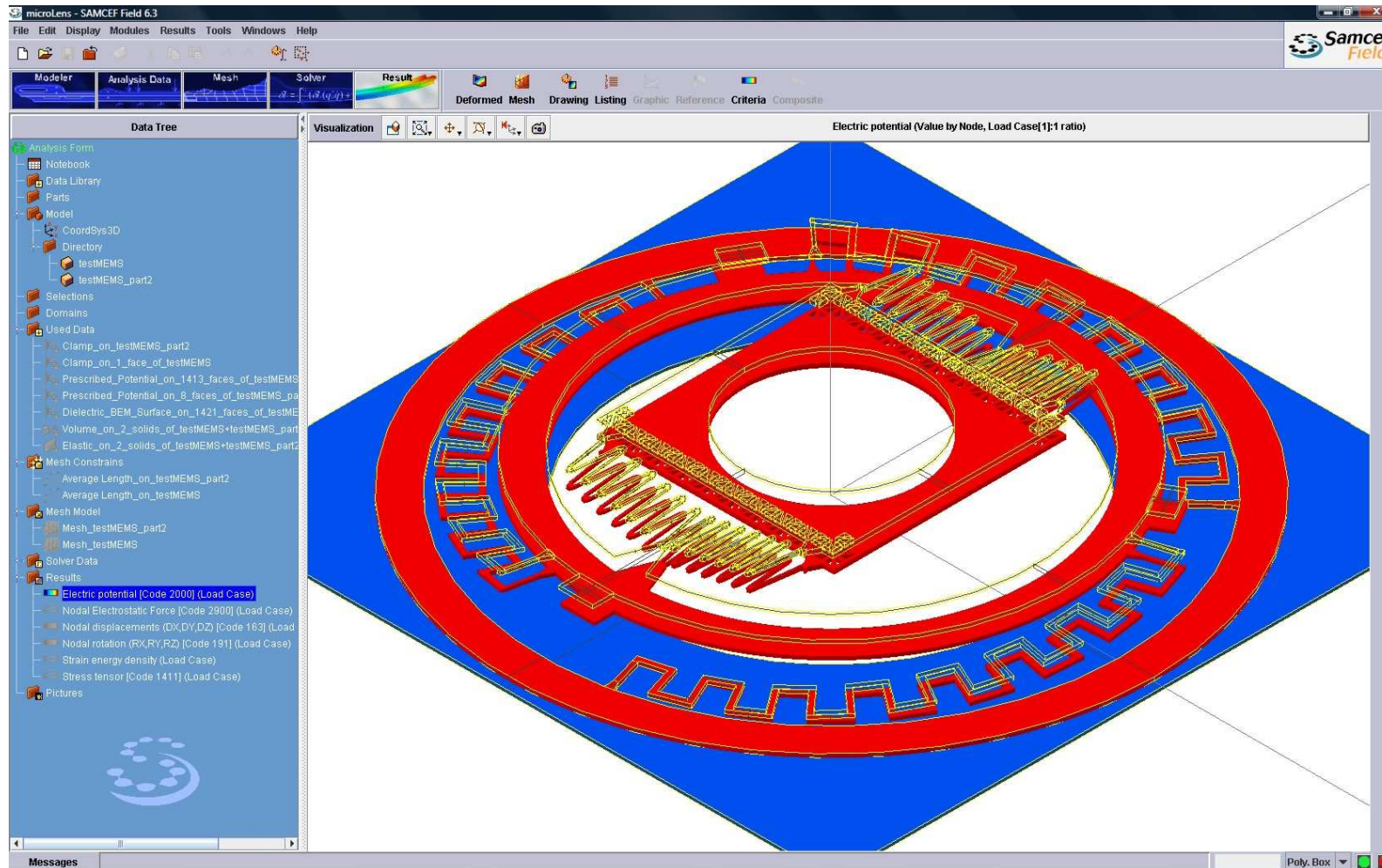


Structural displacements and electrostatic nodal forces



Electric potential distribution

# Oofelie::MEMS, BEM using FMM



Electrostatically actuated micro-lens for biomedical application

(With courtesy of University of British of Columbia and British Columbia Cancer Research Centre, CANADA)



# Perspectives



- Enhancement of damping modeling
  - Thermo-elastic damping (already available)
  - Fluid Molecular regime implementation
  - Support loss
  - ...
- Better definition of manufacturing pre-stress
- Introduction of a NL harmonic solver
- Extraction of tangent stiffness for BEM